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PATENT #2
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1/9/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mark E. Epstein

Application No.: 09/696,803

Group Art Unit: 2645

Filed: January 16, 2001

For: INCLUDING STATISTICAL NLU MODELS WITHIN A
STATISTICAL PARSER

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on 1.16.01.

Steven M. Greenberg, Esq.

Reg. No. 44,725

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find enclosed the following for filing:

- ☒ Information Disclosure Statement, Form PTO-1449
- ☒ Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951.
- ☒ Other: (1) Postcard

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 1.16.01

Gregory A. Nelson
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Docket No. 6169-161



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mark E. Epstein

Application No.: 09/696,803

Group Art Unit: 2645

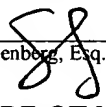
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RECEIVED
JAN 25 2001
Technology Center 2600

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Steven M. Greenberg, Esq.

Reg. No. 44,725

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT



The submission of the listed documents are not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.


Respectfully submitted,

RECEIVED

JAN 25 2001

Technology Center 2600

Date: 1-16-01



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